# **NOSIA**

6G Standardization and the role of AI/ML on physical layer specifications

Dick Carrillo Melgarejo 2025



# Agenda

- 1. Insights on Standardization and the role of 3GPP
- 2. 6G vision into action: timeline and strategic day one focus
- 3. How Al is shaping the current RAN standardization



# Standards in our daily lives

















International electrotechnical standards



Agile projects and project Management standards









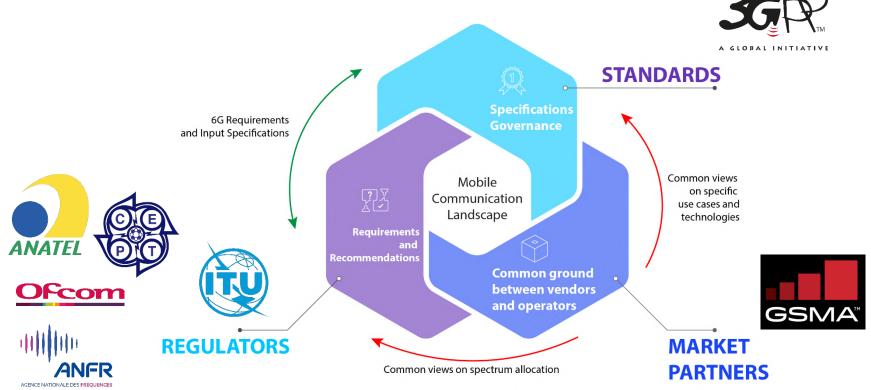




Wireless communications standards



# 3GPP and mobile communication landscape







# Organizations involved in 3GPP

The aspects involving creation, maintenance, adoption, and dissemination are roles done by standards development organizations (SDOs).





#### A GLOBAL INITIATIVE

#### **3GPP Organizational Partners**

- ✓ ARIB (The Association of Radio Industries and Businesses) Japan
- ✓ TSDSI (Telecommunications Standards Development Society, India) –India
- ✓ ATIS (The Alliance for Telecommunications Industry Solutions) –USA
- ✓ CCSA (China Communications Standards Association) China
- ✓ ETSI (The European Telecommunications Standards Institute) Europe
- ✓ TTA (Telecommunications Technology Association) –Korea
- ✓ TTC (Telecommunication Technology Committee) Japan



# How 3GPP works?

- Participants (companies, not individuals representatives)
  - All major telecommunication companies-operators, vendors, service providers.
  - Ministries, Regulators and government agencies actively engaged.
  - Vertical industry representatives.
- Way of working:
  - Face-to-face meetings
  - Pro-activity and contributions.
  - Agreements by consensus (no body says "no")
- Outcomes
  - Study items, work items,
  - Releases every 15 to 24 months with fixed time-lines
  - Coordinated work plan
  - External liaison activity with SDOs, industry bodies, projects.





# 3GPP, RAN and RAN1

3GPP develops 5G (NR) specifications

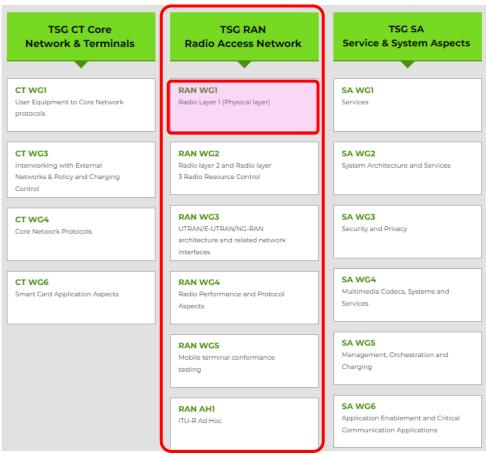
#### **3GPP** consists of 3 Technical Specification Groups

 TSG RAN defines manages the radio interface between the UE and the network and radio network internal interfaces

#### RAN WG1 takes care of the Physical Layer

- NR specs/reports: 38.xxx
  - https://www.3gpp.org/dynareport?code=38-series.htm
- TS: Technical Specification
  - NR-related specs numbered 38.100 38.599
  - E.g. RAN1 specs: 38.2xx, RAN2 specs 38.3xx, ...
  - Products are developed based on specs
- TR: Technical Report
  - NR-related TRs numbered TR38.700 upwards
  - Study Item reports and modelling documents. Not specifications for product development

#### 3GPP consists of 3 Technical Specification Groups



www.3gpp.org



# 3GPP, RAN and RAN1

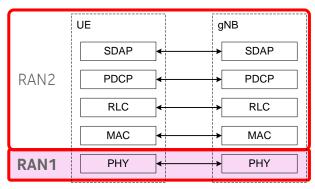
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Radio interface protocol stack (user plane)

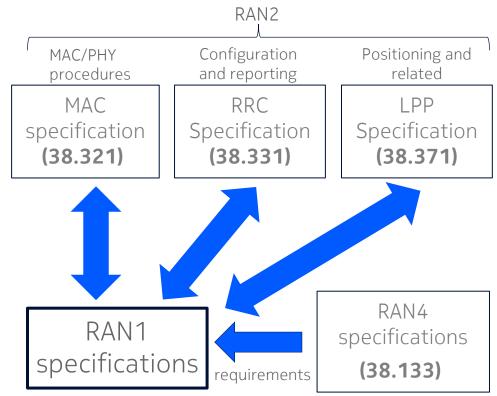
#### **RAN1-maintained NR specifications**

38.201	NR; Physical layer; General description
38.202	NR; Services provided by the physical layer
38.211	NR; Physical channels and modulation
38.212	NR; Multiplexing and channel coding
38.213	NR; Physical layer procedures for control
38.214	NR; Physical layer procedures for data
38.215	NR; Physical layer measurements



# RAN1 Specification Connection to other WGs' Specifications

- RRC protocol (38.331) is used to configure the parameters to RAN1 specified functionality.
- RRC configures also the L2 protocol layers
- RAN4 defines frequency bands and operational and performance requirements relevant for most L1 functionalities.
  - UE requirements spec (38.133)
- For many functionalities, L1 and MAC work hand-in-glove.
- Bottom-line: specification of most features are scattered across different specs.





# **3GPP** Releases

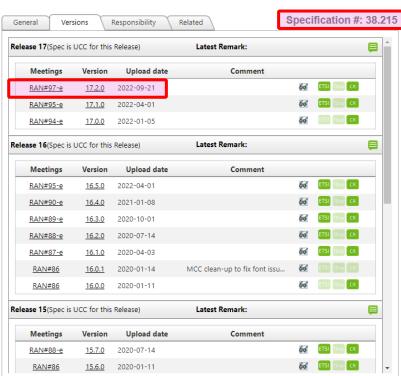
#### New features and generations are introduced in releases

#### Specification numbering scheme

- TS 38.215 version 17.2.0 is a
  - 17: means that it is a Rel-17 specification.
  - 2: means that it is a 3<sup>rd</sup> (0, 1, 2) technical iteration of that release.
  - 0: means that it has no trivial (editorial, formatting etc.) fixes.
- Rel N functionality is always included in Rel N+1
- Version M functionality is (practically) always included in version M+1 of the spec

#### Some landmark 3GPP Releases

- Release 3: First UMTS release (3G), 25.xxx specs
- Release 5/6: High Speed Packet Access (3.5G)
- Release 8: First LTE release (4G), 36.xx specs
- Release 10: LTE-Advanced ('True 4G', 4G+)
- Release 15: First NR release (5G), 38.xxx specs
- Release 18: First 5G-Advanced release
- Release 19: second phase of 5G-Advanced release
- Release 20: enhancing the current capabilities of 5G





# What happens in a 3GPP RAN WG meeting?

#### Example: Delegates registered to Nov/2022 RAN1/2/3/4 meetings

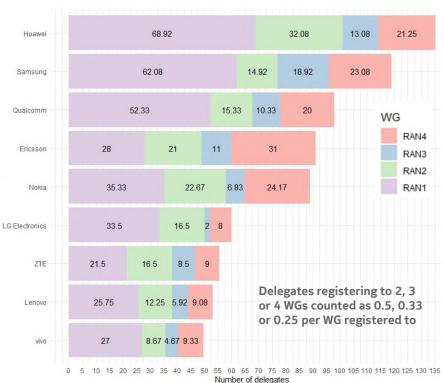
#### A RAN WG meeting in summary

- In preparation: Companies prepare a set of Tdocs (temporary documents) and submit by deadline
- In meeting: Delegates debate submitted proposals
- Agreements come out
  - For ongoing release: A set of agreements furthering the work
  - End-of-release feature: introducing CRs with new spec. text.
  - For closed releases: Agreed Change Requests (CRs)



#### Discussions are organized around Work and Study Items

 Each Tdoc is attributed to an agenda item relating to a particular WI/SI.





# A typical RAN1 meeting schedule, a 5-day meeting

3 parallel "online" sessions with full decision-making power 2 parallel "offline" sessions doing preparatory work

RAN1#123, Nov/2025 918 delegates registered face-2-face 353 delegates registered for online 1302 Tdocs EoM

RAN	1#123	Or	ıline	Sess	ion S	ched	lule [	Lone Sta	r Ballro	om B	Dallas	Ballroom	В	Seminar	Theater
	Monday			Tuesday			Wednesday			Thursday			Friday		
08:30 	RAN1#123 commences at 09:00 on Monday Agenda items 1, 2, 3, 4, 5 Maintenance 8.3			6GR Channel codi ng (40) Evaluation (4 0) Overall (40)	R19 UE features ( 120)	Al 8 (60) . 8.8 R20 Covera ge (60)	6GR Overall (40) Waveform (40) Channel co ding (40)	AI 8 (120) MIMO (60) NES (60)	R19 UE features (120)	6GR Waveform (80) Overall (4 0)	Al 8 (120) AVML (40) NTN-NR (4 0) NTN-IoT (4 0)	R20 Covera ge (60) R20 ISAC (60)	6GR Energy (4 0) Channel c oding (40) Evaluation (40)	R20 NR-NT N (120)	R20 A-loT (12 0)
						Mornir	g coffee	break: 10:	30 ~ 11:0	0					
11:00 ~ 13:00 (120 min)	. 8.3			6GR AIML (70) Waveform (5 0)	AI 8 (120) NTN-NR (4 0) NTN-IoT (4 0) AI/ML (40)	AI 8 (30) . 8.4 R20 A-loT (9 0)	6GR Modulation (40) AIML (80)	AI 6,7 (60) R20 NR-NT N (60)	AI 8 (30) . 8.4 R20 A- IoT (90)	6GR Al/ML (40) Channel co ding (40) Energy(40)	R20 MIMO (120)	R20 A-loT (1 20)	Sweep Al 8 6GR Short break: 13:00-13:30 Resume at 13:30		
						Lu	nch brea	k: 13:00 ~	14:30						
14:30 	Energy (80) Frame st MIN	8 (60)	Al 8 (60) . 8.8 R20 Cove rage (60)	6GR Energy (80) Frame structu re (40)	R20 MIMO (60) R20 NR-NTN (6 0)	R20 ISAC (60) R20 A-loT (6 0)	UE features wrap up 6GR Energy (80) Frame struc ture (40)	NR-NTN (6 0) R20 MIMO (60)	R20 ISAC (60) (60) R20 Cover age (60)	Al 8 (120)	R20 NR-NT N (60) Al 8 (60)	AI 8 (60) . 8.8 R20 ISAC (60)	Any other . Session . etc.	open issues reports	
						Afternoo	n coffee l	oreak: 16:	30 ~ 17:00	+					
17:00 19:30 (150 min)	Waveform (50) R20 Modulatio n (50) R20	ML (50) 0 N (50)	R20 A- loT (100) R20 ISAC (50)	6GR (150) Channel coding(50) Modulation (5 0) Evaluation (5 0)	Al 6, 7 (6 0) R20 Al/ML (90)	R20 A-loT (1 50)	6GR (90) Evaluations (90)	R20 AIML (90)	R20 A- <u>loT</u> (90)	6GR Frame stru cture (40) Modulation (50) Evaluation (50)	R20 AVML (150)	R20 A-loT (150)	RAN1#1 at 14:30	123 expecte )	d to close
						All sessio	ns end a	t 19:45 – r	o excepti	ons					

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	Hiroki					AVML (60)	R20 A-loT (120)			
	Hiroki		Morning	g coffee break:	10:30 ~ 11:00					
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- 1. Insights on Standardization and the role of 3GPP
- 2. 6G vision into action: timeline and strategic day one focus
- 3. How Al is shaping the current RAN standardization



# From research to standardization, the journey towards 6G vision has reached a pivotal milestone

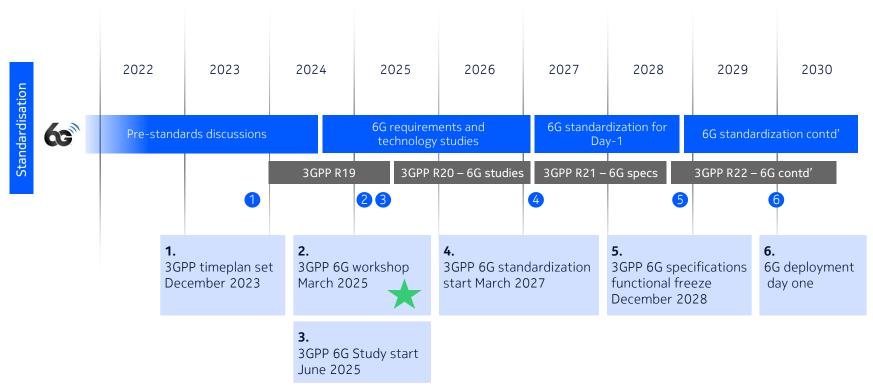




# 6G will come with Rel-21

# 3GP

### Commercialization will start Q4 2029





# What will 6G bring on day one / Rel-21?

**Performance elevation:** Setting a new benchmark to meet future demands efficiently

**Scalability & monetization**: A platform that supports new use cases, applications, and revenue models

Ensuring **environmental** responsibility and built-in cybersecurity and resiliency

#### **Technology enabler examples**



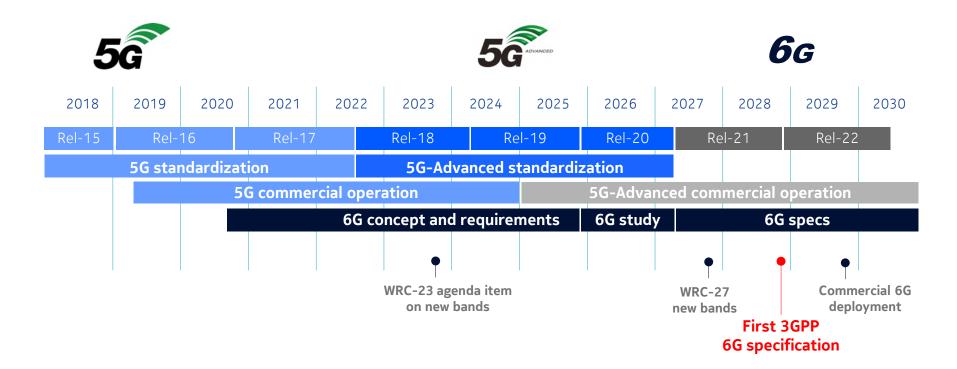


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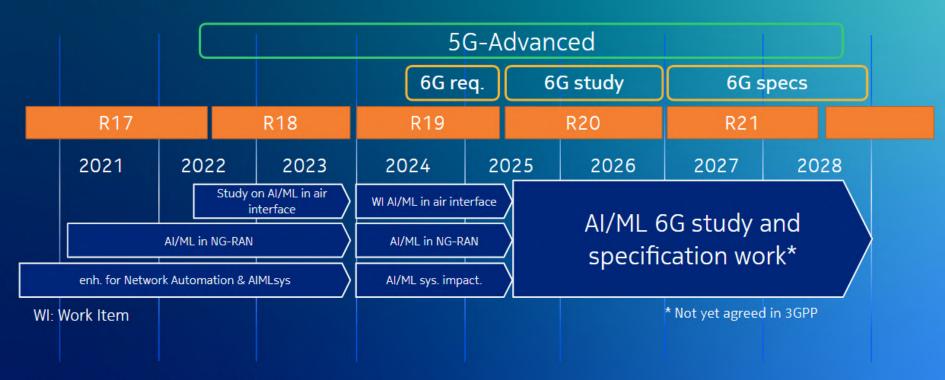


# 6G, 5G, and 5G-Advanced Timeline





# AI/ML timeline in Standardization

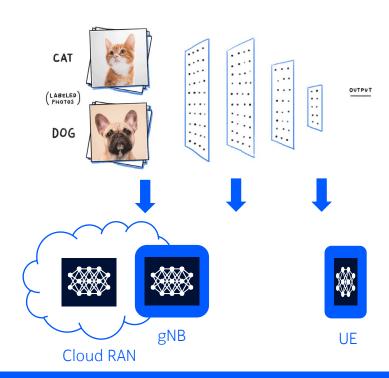


AIMLsys: system support for AI/ML-based services

# Al in Wireless

Exploit recent advances in artificial intelligence and machine learning to disrupt how we design, implement, and optimize wireless communication systems

- Enhance performance or reduce complexity
- Bespoke solutions for different environments and traffic with minimal custom standards



Will AI/ML revolutionize wireless communications?

Not a single component in 5G have been designed by AI.



# Radio AI/ML framework – UE ML functionality management

RAN1 RAN2





#### Operate and monitor (LCM)

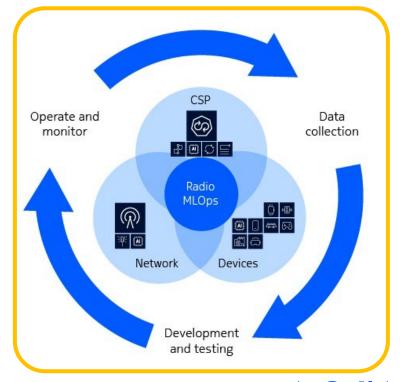
- UE ML capability exchange
- Configuration, activation/de-activation/switching
- Performance monitoring

#### Data collection

- Training data
- Performance monitoring data
- Inference data

#### Development and testing

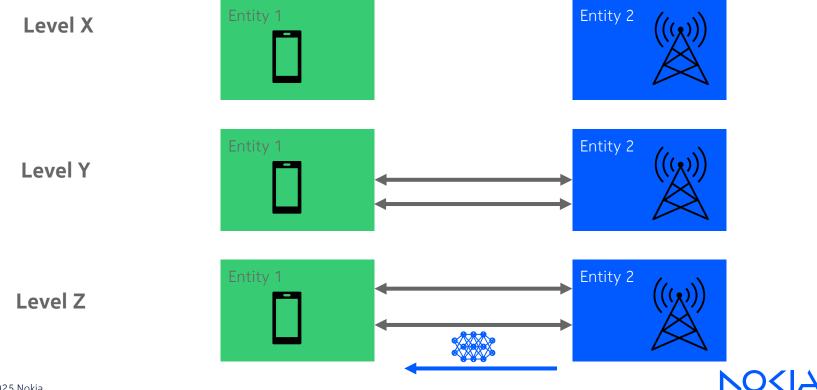
- Minimum performance requirements
- Core requirements
- Post-deployment testing





# AI/ML over the air interface

Identifying various levels of collaboration



# 5G-Advanced is the key steppingstone towards Al-Native in 6G

#### **5G: First steps**

- Proprietary AI/ML solutions
- Standardized features for Network Automation



# 5G-Advanced: Standardized enhancements for AI/ML

- Use cases and general framework
- Enhancements for data collection and automation
- Generic support for AI/ML



#### 6G: Al-native system

- Al enabled network design and Deployment
- AI/ML integrated in all network domains and layers
- Trusted AI/ML

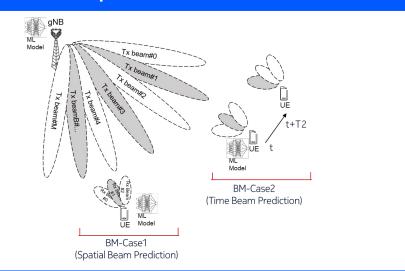






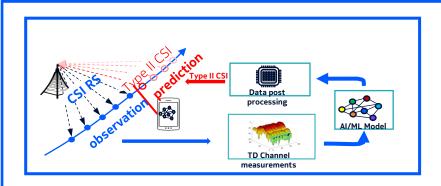
# From measurement prediction to optimal beam selection

#### AIML beam prediction (Rel-18 SI / Rel-19 WI)



- Leveraging offline trained NNs to predict the best beam(s) based on a limited set of measurements
- Lower overheads and reduced latency
- Main optimization targets:
  - DL Tx beam prediction

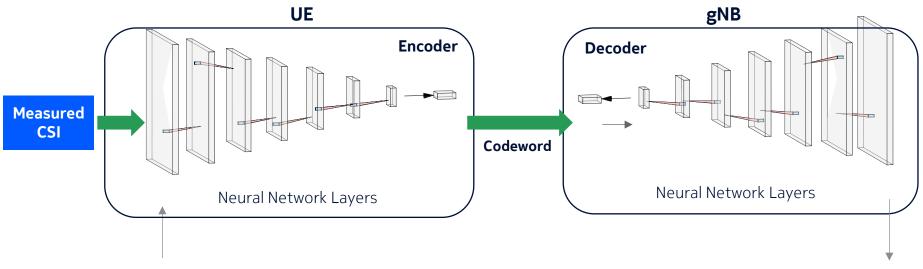
#### AIML CSI prediction (Rel-18 SI/Rel-19 WI)



- Support for one-sided model deployment e.g.,
  - UE-side models that observe the radio channel over multiple time instances at multiple UE locations
  - o **Inference** of legacy Type II CSI feedback predicted ahead by one to a few time instances
  - ML models varying from low complexity LSTM models to extensive transformer models
- Motivation: overcome channel aging, especially for sensitive downlink transmission modes
- Goal: accurate predicted channel information improving mean and cell edge spectral efficiency
- Focusing mostly on supervised learning
- Support for suitable pilot signal configurations, performance monitoring, and data collection for AI/ML models

# CSI Compression - Lossy Compression and Recovery with ML

AIML CSI Compression (Rel-18 SI / Rel-20 WI)



Original Information (H)

Channel Matrix/ Eigenvectors/ W2 matrix

**CSI: Channel State Information** 

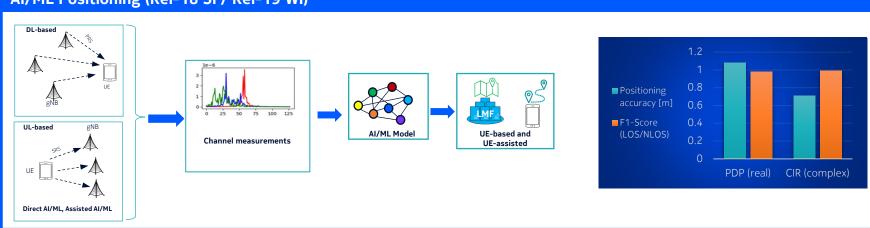
Recovered Information  $(\widetilde{H})$ 

Channel Matrix/ Eigenvectors/ W2 matrix

Aim: throughput gain for a fixed feedback overhead/ Reduce feedback overhead for a fixed throughput

# AI/ML Positioning

#### AI/ML Positioning (Rel-18 SI / Rel-19 WI)

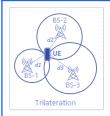


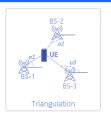
- Supporting for one-sided model deployment e.g.,
  - o UE-side models that estimate UE location directly or an intermediate feature (e.g., LOS/NLOS, timing info, PDP, CIR)
  - o **gNB-side models** that estimate intermediate feature
  - o LMF-side models that estimate UE location
- Motivation: higher positioning accuracy, especially in NLOS conditions, reduced complexity/overhead, e.g., less TRP measurements
- Focusing mostly on the supervised learning
- Support for **performance monitoring** and **data collection** for AI/ML models

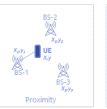


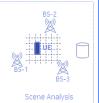
# Why AIML Positioning?

#### Positioning: Legacy (Rel16->Rel-18)





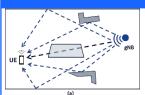


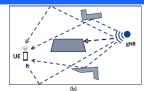


#### Non-ML approaches:

Positioning methods: Time, angle, phase-based measurements such as CPP (Carrier phase positioning), A-GNSS, OTDOA (Observed Time Difference of Arrival), E-CID (Enhanced Cell-ID). Barometric. WLAN/BT based

#### Study in Rel-18: AIML Positioning





NLOS multipath channel (a) Direct path is attenuated but detectable (b) Direct path is completely blocked or non-detectable

#### Positioning enhancement in heavy NLOS conditions

- Classical approaches to positioning such as time of arrival, angle of arrival methods typically rely on direct path characteristics between the transmitter and the receiver of the refence signal used for positioning. Mostly limited to LOS scenarios but poor performance in NLOS scenarios.
- New positioning requirements for applications such as industrial IoT, asset tracking, V2X, extended reality.
- Much more data to process: Due to the availability of huge volume of counters / UE reported measurements
- Computational advances: GPUs, CPU, NPU, parallelism, memory ...

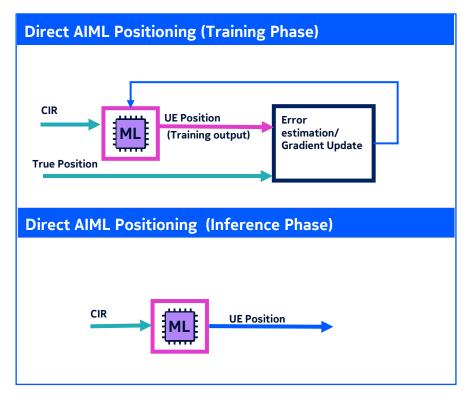
#### AI/ML Positioning

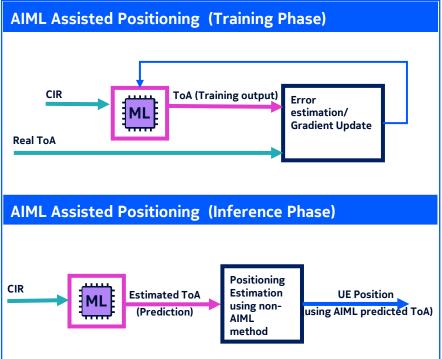
- Leveraging AI/ML models to estimate the UE position
- Purpose: Improve accuracy



# Rel-18 (AIML Positioning)

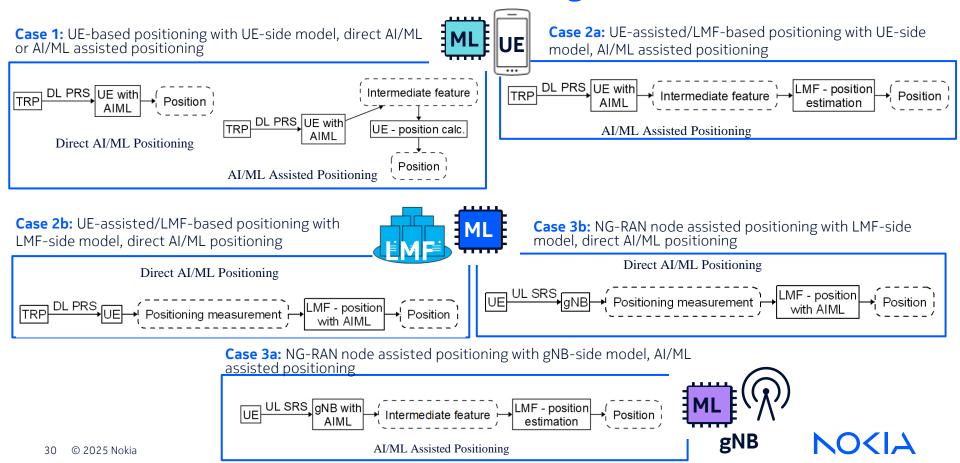
Training and Inference phase







# Rel-18 (Use cases in AI/ML Positioning)



# Rel-18 (AIML Positioning)

# Evaluations results (Performance KPIs)

**Direct AIML Positioning:** Positioning accuracy at 90% CDF [meters]

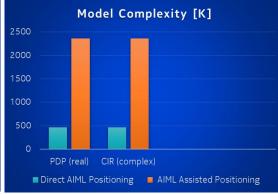
**AIML Assisted Positioning:** F1-score (Performance KPI for LOS/NLOS)

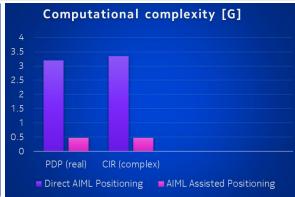
The F1-score is a metric commonly used in machine learning to assess the performance of a classification model, especially when dealing with imbalanced datasets. It combines precision and recall into a single value, providing a balanced measure of a model's effectiveness.

**Model complexity:** The number of parameters in a model is a key factor in determining its capacity to learn from data and its overall complexity.

**Computational complexity:** The computational complexity of an Al/ML model is often measured in terms of FLOPS (Floating Point Operations Per Second). The FLOPS metric provides an indication of the model's computational requirements, particularly during the inference phase.





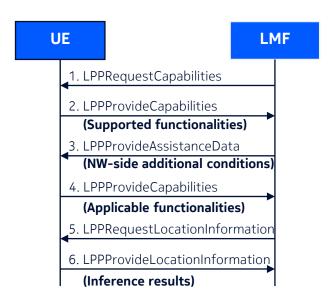




# Rel-19

# High-level procedures for AI/ML positioning

- **Step 1:** LMF may request the UE to report the supported functionalities at the UE side by LPP request capabilities message.
- **Step 2:** UE sends LPP provide capabilities message to LMF with the supported functionalities at the UE side.
- **Step 3:** LMF sends the LPP provide assistance data message (which may contain network side additional condition).
- **Step 4:** UE reports the applicable functionality to the LMF by the LPP provide capabilities message.
- **Step 5:** The LMF requests the inferred location information using the LPP request location information message.
- **Step 6:** UE reports the inferred location using LPP provide location information message.



Stage 2 and Stage 3 specs are still under discussion in Rel-19

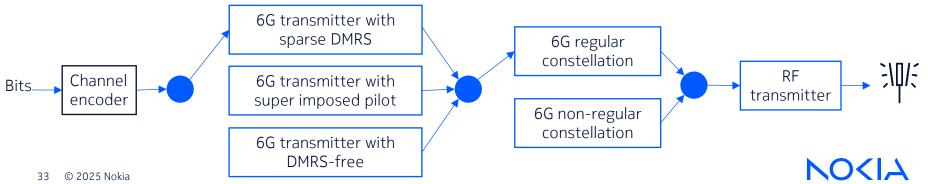


# AI/ML in 6G

- RAN1 working group started to discuss potential 6G AI/ML use cases since August/2025 (3GPP RAN1#122 meeting).
- 48 companies contributing actively.
- More than 1000 pages contributed on this agenda item.
- Most popular use cases:
  - Reference signal overhead reduction (DMRS, CSI-RS),
  - Inter-Cell/M-TRP DL Tx beam prediction and management,
  - Al-based none-linearity handling at transmitter or receiver,

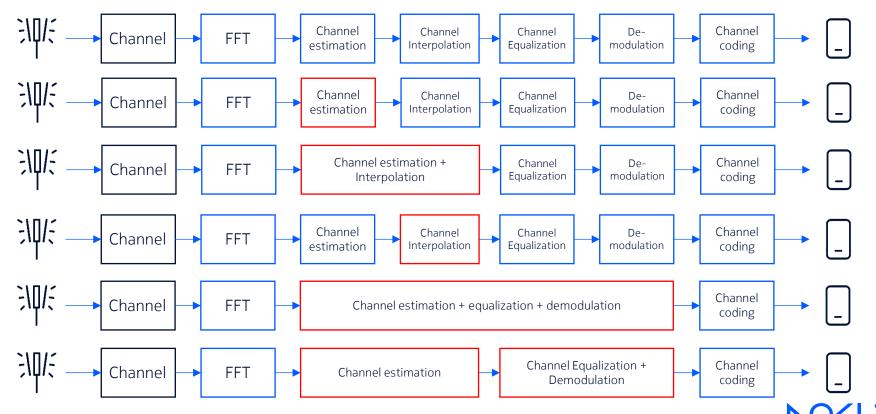
#### DMRS design with AI receiver

Al Transmitter configuration based on Company contributions in current 6G AIML discussion



## **DMRS** design with AI receiver

Al Receivers based on Company contribution in current 6G AIML discussion in 3GPP RAN1#123 meeting



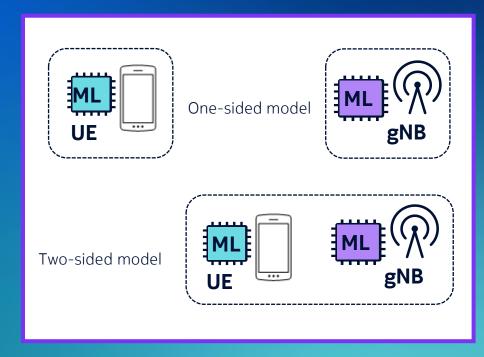
# Section summary: How AI/ML is shaping the radio interface?

AI/ML Radio framework: life cycle management of UE functionalities (inference, monitoring, data collection).

**5G-Advanced standardized use cases**: beam management, CSI prediction, and Positioning.

**6G** studies are raising new use cases: Reference signal overhead reduction.

#### Potential use cases for AIML 6G

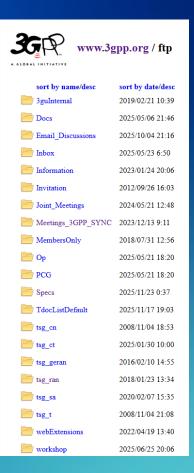




Suggestion to remark Navigate the 3GPP ftp server

https://www.3gpp.org/ftp/

It is free and updated permanently with latest company's contributions and standardization specifications





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